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Docket No.: SONY 3.0-020
(PATENT)

2161
06-24-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 2161

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A
NETWORK

Commissioner for Patents
Washington, DC 20231

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Technology Center 2100

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Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

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Dated: May 28, 2002

Respectfully submitted,

By

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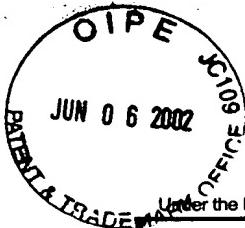
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1				Complete if Known	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold Aaron Ludtke
				Art Unit	2161
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SONY 3.0-020

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
AA	5,737,701	04/07/98	Rosenthal et al.			
AB	6,105,010	08/15/00	Musgrave			
AC						
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
BA	WO 99/06928			02/11/99			

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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